

Approved for use through 3/31/2007, OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

056226.56748US

ATTORNEY'S DOCKET NUMBER

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 10/552,067 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/DE2004/000694 April 3, 2004 April 10, 2003 TITLE OF INVENTION METHOD AND APPARATUS FOR DETECTING WIND VELOCITIES BY MEANS OF A DOPPLER-LIDAR SYSTEM APPLICANT(S) FOR DO/EO/US Thorsteinn HALLDORSSON, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2.  $\boxtimes$ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) 3.  $\boxtimes$ and (21) indicated below. The US has been elected (Article 31). 4.  $\boxtimes$ 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. Is not required, as the application was filed in the United States Receiving Office (RO/US). C. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. is attached hereto. a. has been previously submitted under 35 U.S.C. 154(d)(4). b. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. are attached hereto (required only if not communicated by the International Bureau). a. have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8 9 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (One (1) page)  $\boxtimes$ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 10. 371(c)(5)) Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14. A substitute specification. 15 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 17 18 A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20 Other items or information: Notification of Missing Requirements dated November 6, 2006.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 02-2005)

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)				INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER		
10/552,067			PCT/DE2004/000694			056226.56748US		
The following fees have been submitted						CALCULATIONS	PTO USE ONLY	
21. Basic national fee (37 C.F.R. §1.492(a))\$300.00					\$			
22. Examination fee (37 C.F.R. §1.492(c))								
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)								
All other situations \$200.00							\$	
23. Sea	rch fee	(37 C.F.R	. §1.492(b))					
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT								
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Applicant cla	ims sma	all entity s	tatus. See 37 Cf	R 1.27. Fees above are	e reduce	ed by ½.	,	
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a. A chec	k in the	amount o	of \$ to cover t	he above fees is enclose	ed.			
b. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 056226.56748US) in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 056226.56748US). A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
Crowell & Moring, LLP					Mrust \	dwarde		
Intelled	ctual Pro	perty Gro	oup			NATURE/		
P.O. Box 14300 Gary R. Edwards								
Washington, D.C. 20044-4300 NAME 31,824								
rei. No. (202) 624-2500 REGISTRATION NUM						/BER		
Fax No. (202) 628-8844 <u>December 28, 2006</u>								
GRE:tly DATE								



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY	DOCKET NO.
10/552,067	Thorsteinn Halldorsson	056226.56748US INTERNATIONAL APPLICATION NO. PCT/DE04/00694	
	_		
23911		I.A. FILING DATE	PRIORITY DATE
CROWELL & MORING LLP INTELLECTUAL PROPERTY GROUP		04/03/2004	04/10/2003

P.O. BOX 14300 WASHINGTON, DC 20044-4300

**CONFIRMATION NO. 6542 371 FORMALITIES LETTER** OC000000021118063\*

Date Mailed: 11/06/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/05/2005
- Copy of the International Search Report filed on 10/05/2005
- Preliminary Amendments filed on 10/05/2005
- Information Disclosure Statements filed on 10/05/2005
- U.S. Basic National Fees filed on 10/05/2005
- Substitute Specification filed on 10/05/2005

Action Mis	SSING REQ	
Due Date	1-6-07	
Docket #	56748 US	_
Attorney	GRE	
Docketing	An NELO	
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The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

## If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

CHRISTINE S WASHINGTON

Telephone: (703) 308-9140 EXT 228

#### PART 1 - ATTORNEY/APPLICANT COPY

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/552,067	PCT/DE04/00694	056226.56748US

FORM PCT/DO/EO/905 (371 Formalities Notice)